



### **Appendix A**

#### **List of Actions with Mark D. Allison Serving as Lead Counsel**

	<b>Plaintiff</b>	<b>Defendants</b>	<b>District</b>	<b>Civil Action No.</b>	<b>Judge</b>
1.	SKAT	The Valerius LLC Solo 401K Plan and Roger Lehman	D. Conn.	3:18-cv-00987-SRU	Judge Stefan R. Underhill
2.	SKAT	The 78 Yorktown Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10890-WGY	Judge William G. Young
3.	SKAT	The Cambridge Town Line Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10891-WGY	Judge William G. Young
4.	SKAT	The Diamond Scott Capital Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10892-WGY	Judge William G. Young
5.	SKAT	The Hotel Fromance Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10893-WGY	Judge William G. Young
6.	SKAT	The Mountain Air LLC 401K Plan and Roger Lehman	D. Mass.	1:18-cv-10894-WGY	Judge William G. Young
7.	SKAT	The SKSL LLC Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10895-WGY	Judge William G. Young
8.	SKAT	The Snow Hill Pension Plan and Roger Lehman	D. Mass.	1:18-cv-10896-WGY	Judge William G. Young
9.	SKAT	The Westridge Ave LLC 401K Plan and Roger Lehman	D. Mass.	1:18-cv-10897-WGY	Judge William G. Young
10.	SKAT	The Shapiro Blue Management LLC 401K Plan and Roger Lehman	D. Mass.	1:18-cv-11008-WGY	Judge William G. Young
11.	SKAT	The Patrick Partners Conglomerate Pension Plan and Roger Lehman	D. Mass.	1:18-cv-11011-WGY	Judge William G. Young
12.	SKAT	CSCC Capital Pension Plan and Roger Lehman	D. Mass.	1:18-cv-11012-WGY	Judge William G. Young
13.	SKAT	The Cardinal Consulting Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-08878-FLW-LHG	Judge Freda L. Wolfson
14.	SKAT	The Egret Associates LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-08880-FLW-LHG	Judge Freda L. Wolfson
15.	SKAT	The Crow Associates Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-08881-FLW-LHG	Judge Freda L. Wolfson



16.	SKAT	The Heron Advisors Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-08882-FLW-LHG	Judge Freda L. Wolfson
17.	SKAT	The Hoboken Advisors LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-08883-FLW-LHG	Judge Freda L. Wolfson
18.	SKAT	The Jayfran Blue Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-08884-FLW-LHG	Judge Freda L. Wolfson
19.	SKAT	The JT Health Consulting LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-08885-FLW-LHG	Judge Freda L. Wolfson
20.	SKAT	The Lakeview Advisors 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-08886-FLW-LHG	Judge Freda L. Wolfson
21.	SKAT	The Osprey Associates LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-08887-FLW-LHG	Judge Freda L. Wolfson
22.	SKAT	The Sandpiper Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-08888-FLW-LHG	Judge Freda L. Wolfson
23.	SKAT	The Zen Training LLC 401(K) Plan and Matthew Tucci	D.N.J.	3:18-cv-08889-FLW-LHG	Judge Freda L. Wolfson
24.	SKAT	The Everything Clean LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-09439-FLW-LHG	Judge Freda L. Wolfson
25.	SKAT	The Jump Group LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-09442-FLW-LHG	Judge Freda L. Wolfson
26.	SKAT	The Oaks Group Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-09444-FLW-LHG	Judge Freda L. Wolfson
27.	SKAT	The Wave Maven LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-09445-FLW-LHG	Judge Freda L. Wolfson
28.	SKAT	The Balmoral Management LLC 401K Pension Plan and John Lachance	D.N.J.	3:18-cv-10098-FLW-LHG	Judge Freda L. Wolfson
29.	SKAT	The Beech Tree Partners 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10108-FLW-LHG	Judge Freda L. Wolfson
30.	SKAT	The Blackbird 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10109-FLW-LHG	Judge Freda L. Wolfson
31.	SKAT	The Chambers Property Management LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10110-FLW-LHG	Judge Freda L. Wolfson



32.	SKAT	FiftyEightSixty LLC Solo 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10111-FLW-LHG	Judge Freda L. Wolfson
33.	SKAT	The Hawk Group Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-10112-FLW-LHG	Judge Freda L. Wolfson
34.	SKAT	The Hibiscus Partners LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10113-FLW-LHG	Judge Freda L. Wolfson
35.	SKAT	The Maple Advisors LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10114-FLW-LHG	Judge Freda L. Wolfson
36.	SKAT	OneZeroFive LLC Solo 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10115-FLW-LHG	Judge Freda L. Wolfson
37.	SKAT	The Robin Daniel Pension Plan and Matthew Tucci	D.N.J.	3:18-cv-10116-FLW-LHG	Judge Freda L. Wolfson
38.	SKAT	The Sea Bright Advisors LLC 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10117-FLW-LHG	Judge Freda L. Wolfson
39.	SKAT	The Tag Realty Advisors LLC 401K and Matthew Tucci	D.N.J.	3:18-cv-10118-FLW-LHG	Judge Freda L. Wolfson
40.	SKAT	The Throckmorton Realty Advisors 401K Plan and Matthew Tucci	D.N.J.	3:18-cv-10119-FLW-LHG	Judge Freda L. Wolfson
41.	SKAT	The Dink14 LLC 401K Plan and Vincent Natoli	D.N.J.	3:18-cv-10466-FLW-LHG	Judge Freda L. Wolfson
42.	SKAT	JML Capital LLC 401K Plan, Roger Lehman, and John Lachance	D.N.J.	3:18-cv-10468-FLW-LHG	Judge Freda L. Wolfson
43.	SKAT	Natoli Management Pension Plan, Roger Lehman, and Vincent Natoli	D.N.J.	3:18-cv-10469-FLW-LHG	Judge Freda L. Wolfson
44.	SKAT	Nova Fonta Trading LLC 401K Plan, Roger Lehman, and Vincent Natoli	D.N.J.	3:18-cv-10470-FLW-LHG	Judge Freda L. Wolfson
45.	SKAT	The Skybax LLC 401K Plan and Bradley Crescenzo	D.N.J.	3:18-cv-10592-FLW-LHG	Judge Freda L. Wolfson
46.	SKAT	Cole Enterprises USA Retirement Plan & Trust and Todd Bergeron	N.D. Ill	1:18-cv-03206	Judge Virginia M. Kendall

47.	SKAT	Blue Ocean Equity LLC Retirement Plan and Kevin Kenning	N.D. Ill	1:18-cv-03207	Judge Virginia M. Kendall
48.	SKAT	KK Law Firm Retirement Plan Trust and Kevin Kenning	N.D. Ill	1:18-cv-04082	Judge Virginia M. Kendall
49.	SKAT	The FWC Capital LLC Pension Plan and Roger Lehman	S.D. Fla	1:18-cv-21801-JEM	Judge Jose E. Martinez
50.	SKAT	The RDL Consulting Group LLC Pension Plan and Roger Lehman	S.D. Fla	1:18-cv-22070-JEM	Judge Jose E. Martinez
51.	SKAT	The Bradley London Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04047-LAK	Judge Lewis A. Kaplan
52.	SKAT	The DMR Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04049-LAK	Judge Lewis A. Kaplan
53.	SKAT	The Houston Rocco LLC 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04050-LAK	Judge Lewis A. Kaplan
54.	SKAT	The Proper Pacific LLC 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04051-LAK	Judge Lewis A. Kaplan
55.	SKAT	The LBR Capital Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04052-LAK	Judge Lewis A. Kaplan
56.	SKAT	The Atlantic DHR 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04430-LAK	Judge Lewis A. Kaplan
57.	SKAT	The Stor Capital Consulting LLC 401K Plan and Michael Ben-Jacob	S.D.N.Y.	1:18-cv-04434-LAK	Judge Lewis A. Kaplan
58.	SKAT	The Busby Black 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04522-LAK	Judge Lewis A. Kaplan
59.	SKAT	The Canada Rock LLC 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04531-LAK	Judge Lewis A. Kaplan
60.	SKAT	The ISDB Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04536-LAK	Judge Lewis A. Kaplan
61.	SKAT	The Monin Amper Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04538-LAK	Judge Lewis A. Kaplan
62.	SKAT	The NYC Stanismore Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04541-LAK	Judge Lewis A. Kaplan



63.	SKAT	The Texas Rocco LLC 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-04543-LAK	Judge Lewis A. Kaplan
64.	SKAT	Sanford Villa Pension Plan and Roger Lehman	S.D.N.Y.	1:18-cv-04767-LAK	Judge Lewis A. Kaplan
65.	SKAT	The Aston Advisors LLC 401K Plan and Roger Lehman	S.D.N.Y.	1:18-cv-04770-LAK	Judge Lewis A. Kaplan
66.	SKAT	The Sector 230 LLC 401K Plan	S.D.N.Y.	1:18-cv-04771-LAK	Judge Lewis A. Kaplan
67.	SKAT	The M2F Wellness LLC 401K Plan and Mitchell Protass	S.D.N.Y.	1:18-cv-04890-LAK	Judge Lewis A. Kaplan
68.	SKAT	The MPQ Holdings LLC 401K Plan and Mitchell Protass	S.D.N.Y.	1:18-cv-04892-LAK	Judge Lewis A. Kaplan
69.	SKAT	The TKKJ LLC 401K Plan and Thomas Kertelits	S.D.N.Y.	1:18-cv-04896-LAK	Judge Lewis A. Kaplan
70.	SKAT	NYCATX LLC Solo 401K Plan and Carl Andrew Vergari	S.D.N.Y.	1:18-cv-04898-LAK	Judge Lewis A. Kaplan
71.	SKAT	Ackview Solo 401K Plan and Sean Driscoll	S.D.N.Y.	1:18-cv-04900-LAK	Judge Lewis A. Kaplan
72.	SKAT	The Dosmon Bly Pension Plan and Doston Bradley	S.D.N.Y.	1:18-cv-05045-LAK	Judge Lewis A. Kaplan
73.	SKAT	The India Bombay LLC 401K Plan and Doston Bradley	S.D.N.Y.	1:18-cv-05057-LAK	Judge Lewis A. Kaplan
74.	SKAT	The Aria Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05147-LAK	Judge Lewis A. Kaplan
75.	SKAT	The Belforte Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05150-LAK	Judge Lewis A. Kaplan
76.	SKAT	The Bravos Advisors 401K Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05151-LAK	Judge Lewis A. Kaplan
77.	SKAT	The Costello Advisors Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05158-LAK	Judge Lewis A. Kaplan
78.	SKAT	The Eskin Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05164-LAK	Judge Lewis A. Kaplan



79.	SKAT	The Fieldcrest Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05180-LAK	Judge Lewis A. Kaplan
80.	SKAT	The Westport Advisors LLC 401K Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05183-LAK	Judge Lewis A. Kaplan
81.	SKAT	The Kodiak Capital Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05185-LAK	Judge Lewis A. Kaplan
82.	SKAT	The Kyber Pension Plan, Roger Lehman and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05186-LAK	Judge Lewis A. Kaplan
83.	SKAT	The Lerici Capital Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05188-LAK	Judge Lewis A. Kaplan
84.	SKAT	The Ludlow Holdings 401K Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05189-LAK	Judge Lewis A. Kaplan
85.	SKAT	The Regoleth Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05190-LAK	Judge Lewis A. Kaplan
86.	SKAT	The Saba Capital LLC 401K Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05192-LAK	Judge Lewis A. Kaplan
87.	SKAT	The West River Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05193-LAK	Judge Lewis A. Kaplan
88.	SKAT	The Stark Pension Plan, Roger Lehman, and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05194-LAK	Judge Lewis A. Kaplan
89.	SKAT	The Petkov Partners Pension Plan, Roger Lehman, and Svetlin Petkov	S.D.N.Y.	1:18-cv-05299-LAK	Judge Lewis A. Kaplan
90.	SKAT	The Petkov Management LLC 401K Plan and Svetlin Petkov	S.D.N.Y.	1:18-cv-05300-LAK	Judge Lewis A. Kaplan
91.	SKAT	The SVP 401K Plan, Roger Lehman and Svetlin Petkov	S.D.N.Y.	1:18-cv-05305-LAK	Judge Lewis A. Kaplan



92.	SKAT	The Krabi Holdings LLC 401K Plan and Gavin Crescenzo	S.D.N.Y.	1:18-cv-05307-LAK	Judge Lewis A. Kaplan
93.	SKAT	The SPKK LLC 401K Plan, Roger Lehman, and Svetlin Petkov	S.D.N.Y.	1:18-cv-05308-LAK	Judge Lewis A. Kaplan
94.	SKAT	The KASV Group Pension Plan, Roger Lehman, and Svetlin Petkov	S.D.N.Y.	1:18-cv-05309-LAK	Judge Lewis A. Kaplan
95.	SKAT	The Bella Consultants Pension Plan and Roger Lehman	S.D. Ohio	1:18-cv-00309-MRB	Judge Michael R. Barrett
96.	SKAT	The Mueller Investments Pension Plan and Roger Lehman	S.D. Ohio	1:18-cv-00310-MRB	Judge Michael R. Barrett
97.	SKAT	The Green Group Site Pension Plan and Roger Lehman	S.D. Ohio	1:18-cv-00311-MRB	Judge Michael R. Barrett
98.	SKAT	The Sinclair Pension Plan and Roger Lehman	S.D. Ohio	1:18-cv-00351-MRB	Judge Michael R. Barrett
99.	SKAT	Blackrain Pegasus LLC Solo 401K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01889	Judge Vanessa D. Gilmore
100.	SKAT	Gyos 23 LLC Solo 401K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01895	Judge Vanessa D. Gilmore
101.	SKAT	The Oak Tree One 401 K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01897	Judge Vanessa D. Gilmore
102.	SKAT	The Joanne E. Bradley Solo 401K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01898	Judge Vanessa D. Gilmore
103.	SKAT	Delgado Fox LLC Solo 401K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01899	Judge Vanessa D. Gilmore
104.	SKAT	Pegasus Fox 23 LLC Solo 401K Plan and Doston Bradley	S.D. Tex.	4:18-cv-01900	Judge Vanessa D. Gilmore